

SECTOR \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Cao, et al.

FILED: August 3, 2000

SERIAL NO.: 09/631,411

FOR: The Interaction of Smad6 with Hox  
Proteins and Uses Thereof

ART UNIT:

*JB*

EXAMINER:

DOCKET:  
D 6258



The Assistant Commissioner of Patents  
BOX MISSING PARTS  
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS AND  
CERTIFICATE OF MAILING UNDER 37 CFR 1.8

Dear Sir:

Responsive to a Notice to File Missing Parts mailed September 15, 2000, Applicant submits herewith (3) three Combined Declarations and Powers of Attorney, a copy of the Formalities Letter, and a \$65 check for the surcharge. Please credit any overpayment or debit any underpayment of fees to Deposit Acct. No. 07-1185.

I hereby certify under 37 CFR 1.8 that the following correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to Assistant Commissioner for Patents, Box Missing Parts, Washington DC 20231. Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,

*Benjamin Aaron Adler*

Date: Nov 15, 2000  
McGREGOR & ADLER, LLP  
8011 Candle Lane  
Houston, Texas 77071  
(713) 777-2321  
BADLER1@houston.rr.com

Benjamin Aaron Adler, Ph.D., J.D.  
Registration No. 35,423  
Counsel for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/631,411	08/03/2000	Xu Cao	D6258

Benjamin Aaron Adler  
McGregor & Adler LLP  
8011 Candle Lane  
Houston, TX 77071



**FORMALITIES LETTER**



\*OC000000005400992\*

Date Mailed: 09/15/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
  
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

*Asfale*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

65.00 JP

11/22/2000 KZENDIE 00000067 09631411  
01 FC:205